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16519 U.S. PTO

MAIL STOP PATENT APPLICATION  
Attorney Docket No. 82546

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

CHENG et al.

Serial No. Not Yet Assigned

Filed: November 12, 2003

For: **METHOD FOR PREVENTING TO FORM A SPACER UNDERCUT IN SEG PRE-CLEAN PROCESS**

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:


Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter;
- (2) Transmittal For New U.S. Patent Application  
PTO form 1082-  
20 Pages Application Consisting of:  
9 Pages of Textual Specification  
6 Pages of 25 Claims  
1 Page of Abstract  
4 Sheets of drawings
- (3) Executed Inventor's Declaration;
- (4) Assignment and Cover Sheet;
- (5) Check No. 19258 in the Amount of \$860.00;
- (6) Check No. 19255 in the Amount of \$40.00; and
- (7) Early Notification Serial Number Postcard.

Please charge any required fee, or credit any overpayment, in connection with this matter to Deposit Account No. 14-0112.

Respectfully submitted,

NATH & ASSOCIATES PLLC

  
Harold L. Novick  
Registration No. 26,011  
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Date: November 12, 2003

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17548 U.S. PTO  
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Unfair Competition, Trade Secrets,  
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before Federal Courts and Agencies; not  
Admitted in DC

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**FORM PTO-1082**

**TRANSMITTAL FOR NEW U.S. PATENT APPLICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: New U.S. Patent Application  
For: METHOD FOR PREVENTING TO FORM A SPACER UNDERCUT IN  
SEG PRE-CLEAN PROCESS  
Inventor(s): CHENG et al.  
Attorney Docket: 82546

Sir:

Attached hereto is the application identified above, including:

20 Pages Application Consisting of:  
9 Pages of Textual Specification  
6 Pages of 25 Claims  
1 Abstract of the Disclosure  
4 Sheets of drawings.

☒ Executed Inventor's Declaration

The Government filing fee\* is calculated as follows:  
Total Claims . . . . . 25 - 20 = 5 x \$18.00 = \$ 90.00  
Independent Claims . . . . . 2 - 3 = 0 x \$86.00 = \$ 0.00  
Base Fee . . . . . \$770.00  
Multiple Dependent Claim Fee (\$290.00) . . . . . \$ 0.00  
Subtotal . . . . . \$ 860.00

**TOTAL FILING FEE\***  
**(accounting for possible small entity status) . . . \$ 860.00**

- ☐ Preliminary Amendment reducing filing fees is submitted herewith
- ☐ \*Reduced by one-half, as applicant(s) is/are a "small entity".  
A Declaration Claiming Small Entity Status:
- ☐ Foreign priority is claimed under 35 U.S.C. § 119 from \_\_\_\_\_  
Patent Application(s) No. \_\_\_\_\_ dated \_\_\_\_\_.
- ☐ Priority document(s) will be submitted at a later date.
- ☐ A copy of the Priority document(s) is/are submitted herewith.
- ☐ Executed Declaration(s) will be submitted at a later date pursuant to 37 CFR § 1.41 and § 1.53, with an appropriate surcharge under 37 CFR § 1.16(e).
- ☒ Formal drawing(s) is/are attached.
- ☐ Formal drawing(s) will be submitted at a later date.
- ☒ Assignment document(s) is/are submitted herewith; the recordation fee of \$40.00 per document is enclosed herewith.
- ☐ A Verified Translation will be submitted at a later date.
- ☐ No payment is enclosed at this time. Full payment will be made when the executed Declaration is submitted.
- ☒ Submitted herewith is a check in the amount of \$ 860.00. The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account No. 14-0112.

Respectfully submitted,

NATH & ASSOCIATES PLLC



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